



Own your tomorrow.

Schwab believes in the power of collaboration—and the benefits that independent recordkeepers deliver to the retirement planning process. We invite you to leverage our established relationships with a network of retirement professionals to help connect you with the expertise and experience you need. Schwab is pleased to provide an introduction to the select independent recordkeeper below.

CMC INTERACTIVE, LLC FIRM PROFILE

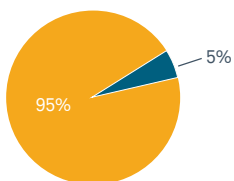
CORE SERVICES

- Third Party Administration (TPA) Services
- Daily Recordkeeping Services
- Efficient Operations and Support Staff
- Outstanding Professional Services for Plan Sponsors
- Participant Support and Education
- Flexible Investment Options

TYPICAL PLAN PROFILE – TYPES AND ASSETS

- Services 500 plans with \$1.5 billion in assets
- Plans range in size from start-ups to \$100 million
- One to 1,000 participants per plan
- 401(k), Profit Sharing, and Defined Benefit Plans
- Clients located nationwide

Client profile by plan assets



■ Retirement in Defined Contribution

■ Retirement in Defined Benefit, Non-Qualified, or Other

WHAT CMC INTERACTIVE, LLC DOES

Since CMC Interactive, LLC (CMC) was founded in New York City in 2001, it has focused solely on servicing employer sponsored retirement plans. CMC’s four principals have been serving retirement plans, their sponsors, and participants since 1980. All four leaders take pride in their continued involvement in managing the firm and shaping CMC’s vision for the future. CMC is headquartered in downtown Manhattan.

WHAT DEFINES CMC INTERACTIVE, LLC

CMC provides comprehensive Third Party Administration (TPA) and daily recordkeeping services to employer sponsored retirement plans.

- **Efficient Operations and Support Staff** – CMC is structured to be one of the most streamlined companies in the industry. This structure allows CMC to complete assignments accurately and deliver solutions as quickly as possible.
- **Outstanding Professional Services for Plan Sponsors** – CMC’s experienced team works closely with plan sponsors. Customized solutions are provided to fit the unique needs of each company and participant population. CMC takes the time to determine the best options for each plan and participant group, and then explain each option in detail to the plan sponsor until they are comfortable with all recommendations.
- **Participant Support and Education** – CMC’s communications professionals provide participant messaging and communications during in-person or online meetings. Ongoing support is also provided by phone or via email.
- **Flexible Investment Options** – Plan sponsors have single-source access to vast investment choice and flexibility, with no proprietary fund requirements. With hundreds of fund families to choose from, participants enjoy a solid core of investment options drawn from America’s best-known mutual fund companies, without loads or transaction fees¹.



CMC INTERACTIVE, LLC

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WHAT MAKES CMC INTERACTIVE, LLC DIFFERENT

While many of CMC's competitors offer services unrelated to retirement plans or sell products such as insurance, CMC's experienced principals and professionals focus solely on servicing company sponsored retirement plans and their participants.

- Enrolled Retirement Plan Agents (ERPAs)
- Qualified Pension Administrators (QPAs)
- Members, American Society of Pension Professionals & Actuaries (ASPPA)
- Enrolled Actuary (EA)
- Member, American Academy of Actuaries (MAAA)
- Series 65 registrations
- Schwab Trust and Custody Advisory Board member



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Investors should carefully consider information contained in the applicable mutual fund prospectus, including investment objectives, risks, charges and expenses. You can request a prospectus by CMC Interactive, LLC at the number listed. Please read the prospectus carefully before investing.

FOR INSTITUTIONAL AUDIENCES ONLY.

¹Mutual funds are subject to management fees and expenses.

The Recordkeeper listed above is solely responsible for the accuracy of the firm content contained in this information sheet. The Recordkeeper is independent of Schwab and is not employed by Schwab or acting as Schwab's agent.

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